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BARCLAYS

Private Clients

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CIN - U67120MH2006PTC161063

अंतवस्तु सत्यापित नहीं की
Contents Not Verified
13 DEC 2019

BW/SA/COMP/2019/064

December 13 2019

Ms. Nila S Khanolkar
Investment Management Department – PMS
Securities and Exchange Board of India
SEBI Bhawan, C-44,
G Block, Bandra Kurla Complex
Bandra East, Mumbai 400051

Dear Sir / Madam,

Sub: Addendum to the Disclosure document of Barclays Securities (India) Private Limited (SEBI Registration number INP000002585)

With reference to the above, we, Barclays Securities (India) Private Limited ("BSIPL) wish to draw your attention to the Disclosure Document dated 18th September 2019, which was submitted to SEBI on 19th September 2019.

We wish to state that due to an inadvertent error, the Portfolio Performance for the Wealth Creator strategy were understated in the aforesaid document.

The changes to the Portfolio Performance numbers for the Wealth Creator strategy numbers are as given below. Also attached is the addendum to the Disclosure Document that will be uploaded on the website of BSIPL. These figures will also be updated during the subsequent refresh of the Disclosure Document.

Portfolio Performance (%), Net of all fees and charges levied by the portfolio manager *	01/04/2019 - 31/07/2019		01/04/2018 - 31/03/2019	
Discretionary Portfolios				
Wealth Creator	Reported Figure	Correct Figure	Reported Figure	Correct Figure
	-6.53%	-5.27%	6.86%	7.97%

SHAH & RAMAIYA MUMBAI CHARTERED ACCOUNTANTS

Barclays Securities (India) Private Ltd. Mumbai

We request you to take note of the same in your records. We regret the inconvenience caused.

Thanking you,

Yours faithfully,

For Barclays Securities (India) Private Limited

Anupam Mohaney
Compliance Officer

Barclays Securities (India) Private Ltd. Mumbai

SHAH & RAMAIYA MUMBAI CHARTERED ACCOUNTANTS

Barclays Securities (India) Private Limited

Disclosure Document for Portfolio Management Services

This Addendum sets out the changes made to the Disclosure Document dated 18th September 2019. The below values in **bold** for Wealth Creator shall replace the existing values given in the Disclosure Document on page number 45.

1. **Performance of the Portfolio Manager:**

Barclays Securities (India) Private Limited has been registered with SEBI as a Portfolio Manager w.e.f. March 26, 2008 and following is the past performance track record relating to Discretionary and Non-Discretionary Portfolio Management Services:

Portfolio Performance (%), Net of all fees and charges levied by the portfolio manager *	01/04/2019 - 31/07/2019	01/04/2018-31/03/2019	01/04/2017-31/03/2018	01/04/2016-31/03/2017
Discretionary Portfolios				
Core Growth $	N.A.	N.A.	19.06%	16.02%
Benchmark	N.A.	N.A.	10.62%	21.17%
Dynamic Opportunities	-6.02%	9.83%	17.12%	15.33%
Benchmark	-6.53%	8.35%	11.82%	24.02%
Wealth Creator	-5.27%	7.97%	20.38%	16.14%
Benchmark	-3.11%	17.80%	11.30%	16.88%
Thematic Portfolio **	N.A.	N.A.	-4.90%	22.27%
Benchmark **	N.A.	N.A.	9.31%	21.17%
DPMS Fixed Income #	8.71%	6.64%	5.56%	8.26%
Benchmark	5.93%	6.72%	5.11%	7.45%
Non-Discretionary Portfolios				
Structured Products				
Equity linked Structure Products- Principal Protected (PP)	N.A.	N.A.	NA	9.17%
Debt NDPMS	3.59%	8.13%	10.22%	8.97%
Benchmark - Debt	5.93%	6.72%	6.04%	9.08%
NDPMS Fixed Income ##	N.A.	N.A.	-4.43%	3.47%
Benchmark	N.A.	N.A.	5.11%	4.20%
Equity	-8.31%	11.61%	14.80%	17.35%
Benchmark – Equity	-3.11%	17.80%	11.30%	16.88%

SHAH & RAMAIYA MUMBAI CHARTERED ACCOUNTANTS

Barclays Securities (India) Private Ltd. Mumbai

Multi Asset Class Portfolio ***	-8.86%	2.42%	9.10%	15.42%
$ Performance is upto 5th September 2018 post which there were no clients				
Note * Portfolio returns (time weighted return) have been computed using weighted average net of all fees and transaction costs over the reporting period.				
** The date of inception of the portfolio is 14th July 2015. Post, 26th July 2017, there were no clients				
# The date of inception of the portfolio is 12th July 2016				
## The date of inception of the portfolio is 9th September 2016. There are no clients in the at present.				
*** The date of inception of the portfolio is 30th April 2015. These portfolios are custom benchmarked based on the asset allocation as defined in the client specific mandate				

For Barclays Securities (India) Private Limited

Narayan Shroff

Narayan Shroff
Director

Deepak Agarwal

Deepak Agarwal
Director

For Shah and Ramaiya
Chartered Accountants
FRN 126489W

SHAH & RAMAIYA
MUMBAI
CHARTERED ACCOUNTANTS

CA Shardul Shah
Partner
M No: 118394

Place: Mumbai
Date: December 13, 2019

Barclays Securities (India) Private Ltd.
Mumbai